

APPENDIX 2

Submission Guidelines—Checklist of requirements

Transend: Submission Guidelines - Checklist of requirements - Revenue Proposal

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.1	A TNSP's revenue proposal must be accompanied by all audit assurances that the AER requires under s. 2.8 of these guidelines. Section 2.8(b) states that the AER requires that an audit of the historic capex and opex information is performed before, and be provided to the AER to accompany, the submission of a TNSP's Revenue proposal.	The relevant audit assurance reports are included as Appendix 7 of the Revenue Proposal.
4.3.2	A TNSP's revenue proposal must be accompanied by a directors' responsibility statement as required under s. 2.10 of these guidelines.	The directors' responsibility statement is included as Appendix 1 of the Revenue Proposal.
4.3.3(a)(1), (NER:6A.6.7(a))	<p>In accordance with clause 6A.10.2(b)(4) and clause S6A.1.1 of the NER, a TNSP's revenue proposal must contain at least the following information and matters relating to capital expenditure:</p> <p>(1) a forecast of the required capital expenditure that complies with the requirements of clause 6A.6.7 of the Rules and identifies the forecast capital expenditure by reference to well accepted categories such as:</p> <p>(A) asset class (eg. transmission lines, substations etc); or</p> <p>(B) category driver;</p> <p>and identifies, in respect of proposed material assets:</p> <p>(C) the location of the proposed asset;</p> <p>(D) the anticipated or known cost of the proposed asset; and</p> <p>(E) the categories of transmission services which are to be provided by the proposed asset;</p>	<p>Chapter 5 of the Revenue Proposal sets out Transend's capital expenditure forecasts in accordance with these requirements.</p> <p>Capital expenditure categories are defined in section 5.4. Section 5.7.1 sets out Transend's capital expenditure forecasts by category.</p> <p>Key investment drivers are explained in section 5.5.1. As noted above, capital expenditure forecasts are set out by reference to the categories described in section 5.4 of the Revenue Proposal.</p> <p>Section 5.7.3 contains a forecast map of the transmission system, plus information on the location of proposed transmission line augmentations for the forthcoming regulatory control period.</p> <p>Section 5.7.1 states that the templates include the category driver, the location of the proposed asset (identified by project description) and the estimated cost of the proposed asset in accordance with schedule S6A.1.1(1) of the Rules.</p> <p>Table 5.1 in section 5.4 provides information on the relationships between Transend's categories of capital expenditure and the categories of transmission services provided by each capital expenditure category.</p>
4.3.3(a)(2), (NER:S6A.1.1)	(2) the methodology used for developing the capital expenditure forecast	Section 5.5 and 5.6 describes Transend's capital expenditure forecasting methodology.
4.3.3 (a)(3)	(3) the forecasts of load growth relied upon to derive the capital expenditure forecasts and the methodology used for developing those forecasts of load growth;	Section 5.5.1 describes the methodology used to develop load growth forecasts. Section 5.6.1 sets out the forecasts of load growth relied upon to derive the capital expenditure forecasts.

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.3(a)(4)	(4) the key assumptions that underlie the capital expenditure forecast	Section 5.6 sets out the key assumptions underlying the capital expenditure forecasts.
4.3.3(a)(5)	(5) a certification of the reasonableness of the key assumptions by the directors of the Transmission Network Service Provider;	The directors' responsibility statement provided at Appendix 1 of the Revenue Proposal contains this certification.
4.3.3(a)(6)	(6) capital expenditure for each of the first three regulatory years of the current regulatory control period, and the expected capital expenditure for each of the last two regulatory years of that regulatory control period, categorised in the same way as for the capital expenditure forecast;	Table 5.16 of section 5.8 sets out the required information.
4.3.3(a)(7)	(7) an explanation of any significant variations in the forecast capital expenditure from historical capital expenditure.	Section 5.8 sets out the required information.
4.3.3(b)	A TNSP's revenue proposal must: (1) include in the forecast of capital expenditure referred to in subsection (a) an amount of any unspent capital expenditure for a contingent project of the kind described in clause 6A.6.7(e) of the NER (2) not include in the forecast of capital expenditure referred to in subsection (a) an amount of any capital expenditure for a contingent project of the kind described in clause 6A.6.7(h) of the NER.	Clause 4.3.3(b)(1) is not applicable as there were no contingent projects for the current regulatory period. Sections 5.7 and 5.9 explains that Transend's forecast of capital expenditure does not contain any capital expenditure for a contingent project.
4.3.3(c)	A TNSP's revenue proposal must contain an explanation of why it considers that its forecast of capital expenditure is required to: (1) efficiently meet the expected demand for prescribed transmission services over the regulatory control period; (2) comply with all applicable regulatory obligations associated with the provision of prescribed transmission services; (3) maintain the quality, reliability and security of supply of prescribed transmission services; or (4) maintain the reliability, safety and security of the transmission system through the supply of prescribed transmission services.	Explanation regarding this information requirement is provided throughout chapter 5.
4.3.3(d)	The AER has developed a number of capital expenditure pro forma statements that TNSPs must fill out as part of their provision of information to the AER as part of a TNSP's revenue proposal. The Guideline contains a table identifying the relevant capital expenditure pro forma statements (in appendix A of the Guidelines) that a TNSP must prepare and submit to the AER as part of its revenue proposal. All relevant fields must be filled out where possible, including the sections for qualitative commentary.	Transend has completed the pro forma statement in accordance with these requirements and is provided in Appendix 3 of the Revenue Proposal.

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.4(a)(1)	<p>Under clauses 6A.10.2(5) and S6A.1.2 of schedule 6A.1 of the NER, a TNSP's revenue proposal must contain at least the following information and matters relating to operating expenditure:</p> <p>(1) Forecasts of the operating expenditure for each year of the relevant regulatory control period which comply with clause 6A.6.6(a) of the NER and which identify the forecast operating expenditure by reference to well accepted categories such as:</p> <p>A. particular programs, or</p> <p>B. types of operating expenditure (e.g. maintenance, payroll, materials etc.)</p> <p>and which identify in respect of each such category:</p> <p>C. to what extent that forecast expenditure is on costs that are fixed and to what extent it is on costs that are variable, and</p> <p>D. the categories of transmission services to which that forecast expenditure relates.</p>	<p>Chapter 6 of the Revenue Proposal sets out Transend's operating expenditure forecasts in accordance with these requirements. Section 6.3 notes that Transend has developed its forecast operating expenditure by reference to well accepted categories, which are defined in that section. Fixed and variable costs are addressed in section 6.3.1. The categories to which the forecast operating expenditure relates are set out in Table 6.1 in section 6.3.1.</p>
4.3.4(a)(2)	(2) the methodology used for developing the operating expenditure forecast;	Section 6.4 describes the operating expenditure forecasting methodology.
4.3.4(a)(3)	(3) the forecasts of key variables relied upon to derive the operating expenditure forecast and the methodology used for developing those forecasts of key variables;	Section 6.5 describes the forecasts of key variables relied upon to derive the operating expenditure forecast, and the methodology used for developing those forecasts of key variables.
4.3.4(a)(4)	(4) the methodology used for determining the cost associated with planned maintenance programs designed to improve the performance of the relevant transmission system for the purposes of any service target performance incentive scheme that is to apply to the Transmission Network Service Provider in respect of the relevant regulatory control period;	Section 6.2.3 addresses the requirements of this provision.
4.3.4(a)(5)	(5) the key assumptions that underlie the operating expenditure forecast;	Section 6.5 describes the key assumptions that underlie the operating expenditure forecast.
4.3.4(a)(6)	(6) a certification of the reasonableness of the key assumptions by the directors of the Transmission Network Service Provider;	The directors' responsibility statement provided at Appendix 1 of the Revenue Proposal contains this certification.
4.3.4(a)(7)	(7) operating expenditure for each of the first three regulatory years of the current regulatory control period, and the expected operating expenditure for each of the last two regulatory years of that regulatory control period, categorised in the same way as for the operating expenditure forecast; and	Section 6.10.1 provides information to meet this requirement.
4.3.4(a)(8)	(8) an explanation of any significant variations in the forecast operating expenditure from historical operating expenditure.	Section 6.10.2 provides information to meet this requirement.

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.4(b)	<p>A TNSP's revenue proposal must contain a justification for its forecast of operating expenditure on the basis that it is required to:</p> <ol style="list-style-type: none"> (1) efficiently meet the expected demand for prescribed transmission services over the regulatory control period (2) comply with all applicable regulatory obligations associated with the provision of prescribed transmission services (3) maintain the quality, reliability and security of supply of prescribed transmission services, or (4) maintain the reliability, safety and security of the transmission system through the supply of prescribed transmission services. 	Section 6.2.1 discusses this requirement. Explanations regarding this information requirement is provided throughout chapter 6.
4.3.4(c)	<ol style="list-style-type: none"> (1) In relation to the past expenditure profile, the AER will require that a business provide an explanation for the profile of expenditure sufficient to demonstrate that the operating expenditure incurred in the regulatory period is related to operational needs as they arose and does not entail instances of cost-shifting. (2) A TNSP must provide a detailed description of any changes in capitalisation policies that have arisen in the current regulatory control period, or that are proposed to apply in the next regulatory control period. This description must be accompanied by a calculation of the impact of those changes in capitalisation policy. (3) The operating expenditure forecast must include any necessary adjustments for changes in responsibilities that result from compliance with a new or amended law or licence, or other statutory or regulatory requirement, including a requirement that can be demonstrated to arise directly from a recognised policy, practice or policy generally applicable to similar firms participating in the National Electricity Market. (4) A TNSP must include in its revenue proposal its proposed basis for accounting for growth in demand in the next regulatory control period. This must be accompanied by evidence that the proposed adjustment mechanism accurately reflects the impact of changes in expected growth in demand from a baseline forecast (positive and negative) on operating expenditure. 	<p>Sections 4.6, 4.7 and 6.10.2 describe the drivers that gave rise to the actual operating expenditure requirements of Transend over the current regulatory period. This information demonstrates that the operating expenditure incurred is related to operational needs as they arose, and did not entail cost shifting.</p> <p>No changes to the capitalisation policy have arisen over the current regulatory period and no change is proposed for the next regulatory period.</p> <p>Section 6.2.4 discusses Transend's compliance obligations</p> <p>Sections 6.5.2 and 8.3 addresses the requirements of this provision.</p>
4.3.4(d)	The AER has developed a number of operating expenditure pro forma statements that TNSPs must fill out as part of their provision of information to the AER as part of a TNSP's Revenue proposal. The Guideline contains a table identifying the relevant operating expenditure pro forma statements in appendix A that a TNSP must prepare and submit to the AER as part of its revenue proposal. All relevant fields must be filled out where possible, including the sections for qualitative commentary.	Transend has completed the pro forma statement in accordance with these requirements and is provided in Appendix 3 of the Revenue Proposal.
4.3.5	Under schedule S6A.1.3(1) of schedule 6A.1 of the NER, a revenue proposal must contain an identification and explanation of any significant interactions between the TNSP's forecast capital expenditure and forecast operating expenditure programs.	Section 6.2.2 provides a description to satisfy this requirement.

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.6(a)(1)	Under clause S6A.1.3(2) of schedule 6A.1 of the NER, a TNSP's revenue proposal must contain: (1) the values, weightings and other elements that the TNSP proposes are to be attributed to the performance incentive scheme parameters for the purposes of the application to the TNSP of the service target performance incentive scheme that applies during the relevant regulatory control period	Sections 7.4 and 7.5 sets out the required information.
4.3.6(a)(2)	(2) an explanation of how the proposed values, weightings and other elements to be attributed to those performance incentive scheme parameters comply with any requirements relating to them set out in that scheme.	Section 7.4 sets out the required information.
4.3.6(b)	The AER has developed the pro forma statement 7.3 at appendix A to assist TNSPs to provide the required information to the AER relating to performance incentive scheme parameters. A TNSP must prepare and submit this pro forma statement to the AER as part of its revenue proposal.	Transend has completed the pro forma statement in accordance with these requirements and is provided in Appendix 3.
4.3.7(a)	Under clause S6A.1.3(3) of schedule 6A.1 of the NER, a revenue proposal must contain: (1) the values that the TNSP proposes are to be attributed to the efficiency benefit sharing scheme parameters for the purposes of the application to the TNSP of the efficiency benefit sharing scheme that applies in respect of the relevant regulatory control period (2) an explanation of how the values proposed to be attributed to the efficiency benefit sharing scheme parameters comply with any relevant requirements set out in that scheme.	Section 8.3 sets out all of the information required.
4.3.7(b)	The AER has developed the pro forma statement 7.4 at appendix A to assist TNSPs to provide the required information to the AER relating to efficiency benefit sharing scheme parameters. A TNSP must prepare and submit this pro forma statement to the AER as part of its revenue proposal.	Transend has completed the pro forma statement in accordance with these requirements and is provided in Appendix 3 of the Revenue Proposal.
4.3.8(a)-(e)	Under clause S6A.1.3(4) of schedule 6A.1 of the NER, a revenue proposal must contain: (a) the TNSP's calculation of the estimated total revenue cap for the relevant regulatory control period (b) the TNSP's calculation of the maximum allowed revenue for each year of the relevant regulatory control period, using the post-tax revenue model referred to in rule 6A.5 of the NER, together with: (c) details of all amounts, values and other inputs used to generate the calculations (d) a demonstration that any such amounts, values and other inputs comply with the relevant requirements of part C of chapter 6A of the NER; (e) an explanation of the calculation of the amounts referred to in subsections (a) and (b), together with an explanation of the amounts, values and inputs referred to in subsection (c).	Section 12.3 sets out these calculations. The information presented in Chapters 5, 6, 9, 10, 11 and 12 of the Revenue Proposal plus the completed PTRM submitted along with the Revenue Proposal satisfy these requirements in full.

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.9(a)-(c)	<p>Under clause S6A.1.3(5) of schedule 6A.1 of the NER, a revenue proposal must contain the TNSP's calculation of the regulatory asset base for the relevant transmission system for each year of the regulatory control period using the roll forward model referred to in clause 6A.6.1 of the NER, together with:</p> <p>(a) details of all amounts, values and other inputs used by the TNSP for that purpose</p> <p>(b) a demonstration that any such amounts, values and other inputs comply with the relevant requirements of part C of chapter 6A of the NER</p> <p>(c) an explanation of the calculation of the regulatory asset base for each regulatory year of the relevant regulatory control period and of the amounts, values and inputs referred to in subsection (a).</p>	Sections 9.2, 9.3 and 9.4 plus the completed RFM and PTRM submitted along with the Revenue Proposal satisfy these requirements in full.
4.3.10	Under schedule S6A.1.3(6) of schedule S6A.1 of the NER, the TNSP's revenue proposal must nominate a commencement and length of the period to be used by the AER to calculate the nominal risk free rate for the regulatory control period according to clause 6A.6.2(c)(2) of the NER.	Information on the risk free rate is contained in section 11.3. In accordance with the provisions set out in clause 6A.6.2(c)(2), Transend has nominated the start date and the end date of the proposed averaging period to the AER on a confidential basis.
4.3.11(a)	<p>Under schedule S6A.1.3(7) of schedule S6A.1 of the NER, a revenue proposal must contain:</p> <p>(1) the depreciation schedules nominated by the TNSP for the purposes of clause 6A.6.3 of the NER. The depreciation schedules must categorise the relevant assets by reference to well accepted categories such as:</p> <p>A. asset class (e.g. transmission lines and substations), or</p> <p>B. category driver (e.g. regulatory obligation, replacement, reliability, net market benefit and business support), and also by location</p> <p>(2) details of all amounts, values and other inputs used by the TNSP to compile those depreciation schedules and an explanation of the calculation of those amounts, values and inputs</p> <p>(3) a demonstration that the depreciation schedules conform with the requirements set out in clause 6A.6.3(b) of the NER.</p>	Sections 10.2 to 10.4 inclusive plus the completed pro forma statements provided in Appendix 3 of the Revenue Proposal contain all of the required information in accordance with these provisions.
4.3.11(b)	The AER has developed the pro forma statement 7.2 at appendix A to assist TNSPs to provide to the AER the required information relating to its depreciation schedules. A TNSP may prepare and submit this pro forma statement to the AER as part of its revenue proposal.	Transend has completed the pro forma statement in accordance with these requirements and is provided in Appendix 3 of the Revenue Proposal.
4.3.12	Under schedule S6A.1.3(8) of schedule S6A.1 of the NER, a revenue proposal must contain the X factors nominated by the TNSP for each year of the relevant regulatory control period for the purposes of clause 6A.6.8(a) of the NER, together with a demonstration that those X factors comply with the requirements set out in clause 6A.6.8(b) of the NER.	Section 12.3 demonstrates that the X factor has been determined in accordance with clause 6A.6.8 and schedule S6A.1.3(8).
4.3.13	Under schedule S6A.1.3(9) of schedule S6A.1 of the NER, a revenue proposal must contain the commencement and length of the regulatory control period proposed by the TNSP.	Section 1.2 sets out the required information.

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.14	<p>Under schedule S6A.1.3(10) of schedule 6A.1 of the NER, if the TNSP is seeking a determination by the AER that a proposed contingent project is a contingent project for the purposes of the relevant revenue determination, the TNSP's revenue proposal must contain:</p> <p>(a) a description of the proposed contingent project, including reasons why the TNSP considers the project should be accepted as a contingent project for the regulatory control period</p> <p>(b) a forecast of the capital expenditure which the TNSP considers is reasonably required for the purpose of undertaking the proposed contingent project</p> <p>(c) the methodology used for developing that forecast and the key assumptions that underlie it</p> <p>(d) information that demonstrates that the undertaking of the proposed contingent project is reasonably required to meet one or more of the objectives referred to in clause 6A.8.1(b)(1) of the NER</p> <p>(e) information that demonstrates that the proposed contingent capital expenditure for the proposed contingent project complies with requirements set out in clause 6A.8.1(b)(2) of the NER</p> <p>(f) the proposed trigger events relating to the proposed contingent project and an explanation of how each of those conditions or events addresses the matters referred to in clause 6A.8.1(c) of the NER.</p>	<p>The required information is set out in section 5.9. More detailed information on contingent projects is provided in Appendix 18 of the Revenue Proposal.</p>
4.3.15(a)	<p>A revenue proposal must contain a breakdown of the weighted average cost of capital calculation nominated by the TNSP for the purposes of clause 6A.6.2 of the NER, together with a demonstration that this calculation complies with the requirements set out in clause 6A.6.2(b) of the NER.</p>	<p>The required information is set out in sections 11.2 to 11.6.</p>
4.3.15(b)	<p>The AER has developed the pro forma statement 7.1 at appendix A in order to assist TNSPs to provide to the AER the required information relating to the weighted average cost of capital. A TNSP must prepare and submit this pro forma statement to the AER as part of its revenue proposal.</p>	<p>Transend has completed the pro forma statement in accordance with these requirements and is provided in Appendix 3 of the Revenue Proposal.</p>
4.3.16	<p>(a) A TNSP's revenue proposal must contain:</p> <p>(1) the demand forecasts that the TNSP has used as the basis for its forecasts of operating and capital expenditure for the forthcoming regulatory control period</p> <p>(2) the assumptions on which the demand forecasts have been based, and full and detailed explanations of the basis of their preparation.</p> <p>(b) As a minimum, the TNSP's demand forecasts must be presented for each regulatory year of the regulatory control period. It is expected that the TNSP's demand forecasts will be in the same form as that provided to NEMMCO.</p>	<p>Sections 5.5.1 and 5.6.1 provide this information.</p>

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.17	A TNSP's revenue proposal must contain details of the estimated cost of corporate income tax calculated by the TNSP for the purposes of clause 6A.6.4 of the NER, together with a demonstration that the calculation complies with the requirements of that clause.	Section 11.8 sets out the required information.
4.3.18	<p>(a) Under clause 6A.5.1(a) of the NER, a TNSP must prepare its revenue proposal using a post-tax revenue model according to the requirements of chapter 6A of the NER.</p> <p>(b) In accordance with clause 6A.10.2(c)(1)(i) of the NER, a TNSP's revenue proposal must be accompanied by a post-tax revenue model, completed in a manner that demonstrates its application to the TNSP.</p> <p>(c) Consistent with clause 6A.10.2(c)(2) of the NER, the completed post-tax revenue model and the information in that model will not be publicly disclosed without the consent of the TNSP, except to the extent that the information is provided or otherwise available apart from it being contained in that model.</p>	The completed PTRM has been submitted with the Revenue Proposal as a confidential document under separate cover.
4.3.19	<p>(a) Under clause 6A.10.2(c)(1)(ii) of the NER, a TNSP's revenue proposal must be accompanied by:</p> <p>(1) a completed roll forward model</p> <p>(2) the TNSP's calculation of the regulatory asset base for the relevant transmission system for each regulatory year of the relevant regulatory control period using the roll forward model referred to in clause 6A.6.1 of the NER, together with:</p> <p>A. details of all amounts, values and other inputs used by the TNSP for the purpose of that calculation</p> <p>B. a demonstration that any such amounts, values and other inputs comply with the relevant requirements of part C of chapter 6 of the NER</p> <p>C. an explanation of the calculation of the regulatory asset base for each regulatory year of the relevant regulatory control period and of the amounts, values and inputs used to develop that calculation.</p> <p>(b) Consistent with clause 6A.10.2(c)(2) of the NER, the completed roll forward model and the information in that model will not be publicly disclosed without the consent of the TNSP, except to the extent that the information is provided or otherwise available apart from it being contained in that model.</p>	The completed RFM has been submitted with the Revenue Proposal as a confidential document under separate cover. Details of all amounts, values and other inputs used by the Transend for the purpose of calculating the regulatory asset base are provided in Sections 9.2 to 9.4 inclusive of the Revenue Proposal.
4.3.20(a)	If requested to do so by the AER, a TNSP's revenue proposal must contain details of the information a TNSP intends to provide in support of any application made to the AER to pass through a positive pass through amount or a negative pass through amount under clause 6A.7.3 of the NER.	No such request has been received from the AER. Section 5.11 explains Transend's requirements for network support in the forthcoming regulatory control period.

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.21	<p>If requested to do so by the AER, a TNSP's revenue proposal must contain the values that the TNSP proposes are to be attributed to self-insurance costs for each regulatory year of the relevant regulatory control period, together with:</p> <ul style="list-style-type: none"> (a) details of all amounts, values and other inputs used by the TNSP to calculate its proposed self-insurance costs (b) an explanation of the TNSP's calculation of these amounts, values and inputs (c) the following information and reporting requirements: <ul style="list-style-type: none"> A. a board resolution to self-insure (i.e. a copy of the signed minutes recording resolution made by the board) B. confirmation that the TNSP is in a position to undertake credible self insurance for those events C. self-insurance details setting out the specific risks the TNSP has resolved to self-insure D. a report from an appropriately qualified actuary or risk specialist verifying the calculation of risks and corresponding insurance premiums E. the annual regulatory accounts must record the cost of self-insurance as an operating expense and establish a self-insurance reserve F. when a claim against self-insurance is made, an appropriate deduction to the self-insurance reserve must be recorded. 	<p>No such request has been received from the AER. Section 6.9.4 explains Transend's self-insurance arrangements and further detail of amounts, values and other inputs, and explanation of the calculations involved are contained in Appendix 21. A copy of the Board resolution to self-insure is provided at Appendix 22.</p>
4.3.22	<p>A TNSP's revenue proposal must contain:</p> <ul style="list-style-type: none"> (a) the name and description of each individual prescribed transmission service provided by the TNSP that is the subject of that revenue proposal (b) the quality, reliability and security of supply of each prescribed transmission service provided by the TNSP (c) the reliability, safety and security of the transmission system provided by the TNSP in the supply of prescribed transmission services. 	<p>This information is provided in Sections 5.2 to 5.4, 6.2.1, 6.2.4, 6.3. and chapter 7 of the Revenue Proposal.</p>
4.3.23	<p>A TNSP's revenue proposal must contain a forecast map of the transmission system for the relevant regulatory control period. This map, together with any appropriate accompanying notes, should also indicate the location of new major network assets proposed to be constructed over the regulatory control period and should identify:</p> <ul style="list-style-type: none"> (a) the different ratings of the transmission lines (b) other major network assets. 	<p>Section 5.7.3 contains a forecast map of the transmission system which (including information on the location of proposed transmission line augmentations). Table 5.15 includes the nominal line rating modifications for the forthcoming regulatory control period.</p>

Guideline section	Summary of Requirement	How section was addressed in Revenue Proposal
4.3.24	<p>A TNSP's revenue proposal must contain the following:</p> <p>(a) details of the following information relating to any material related party transactions whose costs are attributed to, or allocated between, categories of transmission services provided by the TNSP:</p> <p>A. details of the related party</p> <p>B. the terms of the related party contract</p> <p>C. a description of the goods and/or services that are the subject of the transaction, and</p> <p>D. a description of the procurement process undertaken in respect of the goods and/or services that are the subject of the transaction, and, in particular, whether the procurement process was a competitive process.</p> <p>(b) The TNSP's confirmation that the terms and conditions of any related party contract that it has require the related party to provide sufficient information to the TNSP to enable the TNSP to meet obligations to attribute or allocate the costs of that related party contract under these guidelines.</p>	Transend has not entered into any material related party transactions.

Transend: Submission Guidelines - Checklist of requirements - Negotiating Framework

Guideline section	Summary of requirement	How section was addressed in the Negotiating Framework
5.2(b)	The TNSP must specify a requirement to provide a description to the service applicant of the nature of the negotiated transmission service that is the subject of negotiation, including details of what the TNSP would provide to the service applicant as part of that service.	Section 7, particularly 7(b)
5.2(c)	The TNSP and a service applicant to negotiate in good faith the price at which the negotiated transmission service is to be provided.	Section 3
5.2(d)	Must specify a requirement for the TNSP to provide all such commercial information as a service applicant may reasonably require to enable that applicant to engage in effective negotiation with the TNSP as to the price at which the negotiated transmission service is to be provided, including the cost information.	Section 7
5.2(e)	Must specify a requirement for the TNSP to: (1) identify, and inform a service applicant of, the reasonable costs, and/or the increase or decrease in costs (as appropriate), of providing the negotiated transmission service (2) demonstrate to a service applicant that the charges for providing the negotiated transmission service reflect those costs and/or the cost increment or decrement (as appropriate).	Section 8(b)
5.2(f)	Must specify a requirement for the TNSP to base its price for a negotiated transmission service on the costs incurred in providing that service, determined according to the TNSP's approved cost allocation methodology.	Section 8(a)
5.2(g)	Must specify a requirement for the TNSP to provide the service applicant with the terms and conditions on which the TNSP would provide its negotiated transmission service to the service applicant.	Section 7, particularly 7(c)
5.2(h)	Must specify a requirement for a service applicant to provide all such commercial information as the TNSP may reasonably require to enable the TNSP to engage in effective negotiation with that applicant as to the price at which the negotiated transmission service is to be provided.	Section 9
5.2(i)(1)	Must specify a requirement for: (1) the TNSP to provide a reasonable period of time for commencing, progressing and finalising negotiations with a service applicant as to the price at which the negotiated transmission service is to be provided	Section 10
5.2(i)(2)	Must specify a requirement for: (2) each party to the negotiation to use its reasonable endeavours to adhere to those time periods during the negotiation.	Section 10(b)
5.2(j)	Must specify a requirement for the TNSP to provide a process for dispute resolution that provides that all disputes about the price at which negotiated transmission services are to be provided are to be dealt with according to clause 6A.30 of the NER.	Section 11
5.2(k)	Must specify for payment by a service applicant of the TNSP's reasonable direct expenses incurred in processing the application to provide the negotiated transmission service.	Section 13